PREMIER CARDIOVASCULAR ASSOCIATES OF DALLAS, PLLC NOTICE OF PRIVACY PRACTICES

EFFECTIVE DATE: <u>December 27TH, 2016</u>

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

UNDERSTANDING YOUR HEALTH RECORD/INFORMATION

Each time you visit a hospital, physician, dentist, or other healthcare provider, a record of your visit is made. Typically, this record contains your symptoms, examination and test results, diagnoses, treatment, and a plan for future care or treatment. This information often referred to as your health or medical record, serves as a basis for planning your care and treatment and serves as a means of communication among the many health professionals who contribute to your care. Understanding what is in your record and how your health information is used helps you to ensure its accuracy, better understand who, what, when, where, and why others may access your health information, and helps you make more informed decisions when authorizing disclosure to others.

YOUR HEALTH INFORMATION RIGHTS

Unless otherwise required by law, your health record is the physical property of the healthcare practitioner or facility that compiled it. However, you have certain rights with respect to the information. You have the right to:

- 1. Receive a copy of this Notice of Privacy Practices from us upon enrollment or upon request.
- 2. **Request restrictions on our uses and disclosures of your protected health information** for treatment, payment and health care operations. This includes your right to request that we not disclose your health information to a health plan for payment or health care operations if you have paid in full and out of pocket for the services provided. We reserve the right not to agree to a given requested restriction.
- 3. Request to receive communications of protected health information in confidence.
- 4. **Inspect and obtain a copy of the protected health information** contained in your medical and billing records and in any other Practice records used by us to make decisions about you. If we maintain or use electronic health records, you will also have the right to obtain a copy or forward a copy of your electronic health record to a third party. A reasonable copying/labor charge may apply.
- 5. **Request an amendment to your protected health information**. However, we may deny your request for an amendment, if we determine that the protected health information or record that is the subject of the request:
 - was not created by us, unless you provide a reasonable basis to believe that the originator of the protected health information is no longer available to act on the requested amendment;
 - is not part of your medical or billing records;
 - is not available for inspection as set forth above; or
 - is accurate and complete.

In any event, any agreed upon amendment will be included as an addition to, and not a replacement of, already existing records.

- 6. **Receive an accounting of disclosures of protected health information** made by us to individuals or entities other than to you, except for disclosures:
 - to carry out treatment, payment and health care operations as provided above;
 - to persons involved in your care or for other notification purposes as provided by law;
 - to correctional institutions or law enforcement officials as provided by law;
 - for national security or intelligence purposes;
 - that occurred prior to the date of compliance with privacy standards (April 14, 2003);
 - incidental to other permissible uses or disclosures;
 - that are part of a limited data set (does not contain protected health information that directly identifies individuals);
 - made to patient or their personal representatives;
 - for which a written authorization form from the patient has been received
- 7. **Revoke your authorization to use or disclose health information** except to the extent that we have already been taken action in reliance on your authorization, or if the authorization was obtained as a condition of obtaining insurance coverage and other applicable law provides the insurer that obtained the authorization with the right to contest a claim under the policy.
- 8. Receive notification if affected by a breach of unsecured PHI

HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED

This organization may use and/or disclose your medical information for the following purposes:

Health Oversight Activities: We may disclose protected health Treatment: We may use and disclose protected health information in the provision, coordination, or management of your information to federal or state agencies that oversee our activities. health care, including consultations between health care providers regarding your care and referrals for health care from one health Law Enforcement: We may disclose protected health information care provider to another. as required by law or in response to a valid judge ordered subpoena. For example, in cases of victims of abuse or domestic violence; to Payment: We may use and disclose protected health information identify or locate a suspect, fugitive, material witness, or missing to obtain reimbursement for the health care provided to you, person; related to judicial or administrative proceedings; or related to including determinations of eligibility and coverage and other other law enforcement purposes. utilization review activities. Military and Veterans: If you are a member of the armed forces, Regular Healthcare Operations: We may use and disclose we may release protected health information about you as required protected health information to support functions of our practice by military command authorities. related to treatment and payment, such as quality assurance activities, case management, receiving and responding to patient Lawsuits and Disputes: We may disclose protected health complaints, physician reviews, compliance programs, audits, information about you in response to a court or administrative order. business planning, development, management and administrative We may also disclose medical information about you in response to a activities. subpoena, discovery request, or other lawful process. Appointment Reminders: We may use and disclose protected Inmates: If you are an inmate of a correctional institution or under health information to contact you to provide appointment the custody of a law enforcement official, we may release protected reminders health information about you to the correctional institution or law enforcement official. An inmate does not have the right to the Treatment Alternatives: We may use and disclose protected Notice of Privacy Practices. health information to tell you about or recommend possible Abuse or Neglect: We may disclose protected health information to treatment alternatives or other health related benefits and services notify the appropriate government authority if we believe a patient that may be of interest to you has been the victim of abuse, neglect or domestic violence. We will Health-Related Benefits and Services: We may use and disclose only make this disclosure if you agree or when required or protected health information to tell you about health-related authorized by law. benefits, services, or medical education classes that may be of Fund raising: Unless you notify us you object, we may contact you interest to you. as part of a fund-raising effort for our practice. You may opt out of Individuals Involved in Your Care or Payment for Your Care: receiving fund raising materials by notifying the practice's privacy Unless you object, we may disclose your protected health officer at any time at the telephone number or the address at the end information to your family or friends or any other individual of this document. This will also be documented and described in any identified by you when they are involved in your care or the fund-raising material you receive. payment for your care. We will only disclose the protected health Coroners, Medical Examiners, and Funeral Directors: We may information directly relevant to their involvement in your care or release protected health information to a coroner or medical payment. We may also disclose your protected health information examiner. This may be necessary to identify a deceased person or to notify a person responsible for your care (or to identify such determine the cause of death. We may also release protected health person) of your location, general condition or death. information about patients to funeral directors as necessary to carry Business Associates: There may be some services provided in our out their duties. organization through contracts with Business Associates. Public Health Risks: We may disclose your protected health Examples include physician services in the emergency department information for public health activities and purposes to a public and radiology, certain laboratory tests, and a copy service we use health authority that is permitted by law to collect or receive the when making copies of your health record. When these services information. The disclosure will be made for the purpose such as are contracted, we may disclose some or all of your health controlling disease, injury or disability. information to our Business Associate so that they can perform the job we have asked them to do. To protect your health information, Serious Threats: As permitted by applicable law and standards of however, we require the Business Associate to appropriately ethical conduct, we may use and disclose protected health safeguard your information. information if we, in good faith, believe that the use or disclosure is necessary to prevent or lessen a serious and imminent threat to the Organ and Tissue Donation: If you are an organ donor, we may health or safety of a person or the public. release medical information to organizations that handle organ procurement or organ, eye or tissue transplantation or to an organ Food and Drug Administration (FDA): As required by law, we donation bank, as necessary to facilitate organ or tissue donation may disclose to the FDA health information relative to adverse and transplantation. events with respect to food, supplements, product and product defects, or post marketing surveillance information to enable product Worker's Compensation: We may release protected health recalls, repairs, or replacement. information about you for programs that provide benefits for work related injuries or illness. **Research (inpatient):** We may disclose information to researchers

Communicable Diseases: We may disclose protected health information to notify a person who may have been exposed to a disease or may be at risk for contracting or spreading a disease or condition.

Research (inpatient): We may disclose information to researchers when an institutional review board that has reviewed the research proposal and established protocols to ensure the privacy of your health information has approved their research.

OUR RESPONSIBILITIES

We are required to maintain the privacy of your health information. In addition, we are required to provide you with a notice of our legal duties and privacy practices with respect to information we collect and maintain about you. We must abide by the terms of this notice. We reserve the right to change our practices and to make the new provisions effective for all the protected health information we maintain. If our information practices change, a revised notice will be mailed to the address you have supplied upon request. If we maintain a Web site that provides information about our patient/customer services or benefits, the new notice will be posted on that Web site.

Your health information will not be used or disclosed without your written authorization, except as described in this notice. The following uses and disclosures will be made only with explicit authorization from you: (i) uses and disclosures of your health information for marketing purposes, including subsidized treatment communications; (ii) disclosures that constitute a sale of your health information; and (iii) other uses and disclosures not described in the notice. Except as noted above, you may revoke your authorization in writing at any time.

FOR MORE INFORMATION OR TO REPORT A PROBLEM

If you have questions about this notice or would like additional information, you may contact our Privacy Officer, ________, at the telephone or address below. If you believe that your privacy rights have been violated, you have the right to file a complaint with the Privacy Officer at Premier Cardiovascular of Dallas, PLLC or with the Secretary of the Department of Health and Human Services or Texas Attorney General's office. The complaint must be in writing, describe the acts or omissions that you believe violate your privacy rights, and be filed within 180 days of when you knew or should have known that the act or omission occurred. We will take no retaliatory action against you if you make such complaints.

The contact information for both is included below.

U.S. Department of Health and Human Services Office of the Secretary 200 Independence Avenue, S.W. Washington, D.C. 20201 Tel: (202) 619-0257 Toll Free: 1-877-696-6775 http://www.hhs.gov/contacts

1001 12[™] Ave., Suite 170 Fort Worth, TX 76104 817-576-6500 PHONE 682-703-2064 FAX Office of the Texas Attorney General Consumer Protection Division PO Box 12548 Austin, TX 78711-2548 Tel: (512) 463-2100 Toll Free: (800) 252-8011 https://www.oag.state.tx.us/forms/cpd/form.php NEUROSUGICAL AND SPINE CONSULTANTS, P

NOTICE OF PRIVACY PRACTICES AVAILABILITY

This notice will be prominently posted in the office where registration occurs. You will be provided a hard copy, at the time we first deliver services to you. Thereafter, you may obtain a copy upon request, and the notice will be maintained on the organization's Web site (if applicable Web site exists) for downloading.